NASA CASE NO. LAR 15088-1

PRINT FIG. \_\_11\_

P-20

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Counsel, Langley Research Center, Code 143, Hampton, Virginia 23681-0001.

Serial No.:

08/269,268

06/28/94

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(NASA-Case-LAR-15088-1) SPIRAL MICROSTRIP ANTENNA WITH RESISTANCE Patent Application (NASA. Langley Research Center) 20 p

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### SPIRAL MICROSTRIP ANTENNA WITH RESISTANCE

The present invention relates to microstrip antennas, and more particularly to wide bandwidth spiral antennas with resistive loading.

A spiral microstrip antenna having resistor elements embedded in each of the spiral arms is provided. The antenna is constructed using a conductive back plane as a base. The back plane supports a dielectric slab having a thickness between one-sixteenth and one-quarter of an inch. A square spiral, having either two or four arms, is attached to the dielectric slab. Each arm of the spiral has resistor elements thereby dissipating an excess energy not already emitted through radiation. The entire configuration provides a thin, flat, high gain, wide bandwidth antenna which requires no underlying cavity. The configuration allows the antenna to be mounted conformably on an aircraft surface.

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Serial No.: 08/269,269 Filed: June 28, 1994

#### SPIRAL MICROSTRIP ANTENNA WITH RESISTANCE

# Origin of the Invention

The invention described herein was made by an employee 5 of the United States Government and may be manufactured and used by or for the Government for any governmental purpose without payment of any royalties thereon or therefor.

## 10 Background of the Invention

The invention relates to microstrip antennas, and more particularly to wide bandwidth spiral antennas with resistive loading.

The advent of spread spectrum, frequency-hopping transmitters and receivers, used in jam resistant voice and data transmissions and in various radar applications, has created a requirement for wideband, high gain antennas. Additionally, as many of these antennas are mounted on 20 aircraft, it is desirable to have a relatively thin, flat antenna which can be mounted in a conformal patch to a fuselage or wing section. Among the prior art devices are several types of spiral antennas.

Spiral antennas are inexpensive, low profile, wideband Most commercially available spiral antennas 25 radiators. cover a 10:1 frequency range and are backed by a cavity which is filled with electrically lossy material. spirals operating at microwave frequencies, the cavity is typically one or two inches in depth. The fields in the 30 cavity are dissipated in order to minimize the effects of the cavity on the radiated fields. Although the lossy cavity enhances the wideband performance of the antenna, it also lowers the gain of the antenna. Circular Archimedean and circular equiangular spirals are most common, but 35 square spirals and zig-zag spirals also offer wideband

performance. Please see H. Nakano, Helical and Spiral Antennas - A Numerical Approach, John Wiley and Sons, Inc., New York, 1987.

Recent scientific investigations indicate that the lossy cavity behind the spiral can be eliminated. For example, an Archimedean spiral above a perfectly conducting ground plane has been analyzed and shown to provide limited bandwidth. See H. Nakano, et al., "A Spiral Antenna Backed by a Perfectly Conducting Plane Reflector," IEEE Trans. on Ant. and Prop., Vol. 34, No. 6, pp. 791-796, June 1986.

Wang and Tripp have developed a microstrip type of spiral antenna covering a 6:1 frequency band and producing a significantly higher gain than the conventional cavity-15 backed spiral. The Wang and Tripp spirals include both Archimedean and equiangular spirals placed on dielectric slabs and backed by a ground plane. The dielectric thickness required for these spirals is 1/10 to 1/4 of an Lossy material is still required around the 20 circumference of the spirals however, in order to absorb unwanted energy in higher order modes. This requirement for lossy materials arises because of the operation of the spiral. During the typical operation, radiation from the spiral will occur at a particular location on the spiral 25 along a length of the arms depending on the frequency. higher frequencies, the radiation occurs nearer the center of the spiral (equivalent to a shorter antenna length). lower frequencies, the radiation occurs further out on the spiral, thereby acting as a longer antenna length. 30 problem occurs when energy reaches the end of the spiral arms and is reflected back through the spiral towards the center. The reflected energy degrades the transmission and causes a substantial reduction in performance. This characteristic means the energy in the antenna must be either radiated or dissipated prior to the end of the 35

spiral regardless of frequency. The prior art method of accomplishing this result is to use lossy material behind the spiral to absorb part of the antenna energy as previously discussed. Without the use of lossy material the antenna is limited to higher frequencies where the energy is completely radiated away. At lower frequencies, the interference degrades the radiation, effectively limiting the bandwidth. If lossy material is used, bandwidth increases, but the gain of the antenna is reduced mostly at lower frequencies. Additionally, the use of lossy materials requires a thickness in the antenna assembly which precludes conformal mounting.

# Summary of the Invention

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Accordingly, it is an object of the present invention to provide a spiral antenna having a wide bandwidth and a high gain.

It is another object of the invention to provide a 20 spiral antenna having a thin, flat structure suitable for conformal mounting.

It is yet another object of the invention to provide a spiral antenna which has an increasing dissipation of excess energy as the position along the spiral arms is increased.

It is a further object of the invention to provide a wideband, high gain antenna without the use of electrically lossy material behind the spiral antenna.

The invention is a square spiral antenna placed on a dielectric slab backed by a ground plane. The spiral comprises either two or four or more paired arms winding outward from the spiral center. Each arm has resistor elements located so as to reduce resistive losses at the higher frequencies, but to preclude reflections at the end of the spiral arms. The resistive effect is achieved by

either a tapered increasing resistance or, alternately, by step increases in resistance, the steps increasing in magnitude, as the energy travels from the center to the outer edges of the spiral.

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# Brief Description of the Drawings

For a more complete understanding of the present invention and for further objects and advantages thereof, reference is now made to the following description taken in conjunction with the accompanying drawings in which:

- FIG. 1 is a perspective view of a prior art conventional cavity-backed spiral antenna;
- FIG. 2 is a partial cutaway view of a prior art spiral antenna using lossy material with a backplane;
  - FIG. 3 is a top view of the square spiral of the present invention;
  - FIG. 4 is a cross sectional side view of the square spiral;
- FIG. 5 is a view of a section of a square spiral arm with a graphical representation of resistance;
  - FIG. 6 is a graphical representation of current distribution along the square spiral arms;
- FIG. 7 is a graphical representation of input 25 impedance over a selected frequency range;
  - FIG. 8 is a graphical representation of gain and axial ratio along the z axis over a selected frequency range;
  - FIG. 9 is a graphical representation of current distribution and phase along a square spiral arm;
- FIG. 10 is a graphical depiction of gain, axial ratio and input impedance for the square spiral antenna; and
  - FIG. 11 is an alternate embodiment of the antenna with four spiral arms.

## Detailed Description of the Invention

A conventional prior art spiral antenna 11 is shown in FIG. 1. The spiral 12 is located above a metallic cavity 15 which is filled with electrically lossy material 13, and is usually 1-2 inches deep. The spiral radiates in both directions perpendicular to the plane of the spiral. Radiation in the downward direction is absorbed in the lossy material 13. Because the lossy material inside the cavity reduces the antenna current to zero by the end 17 of the spiral and eliminates any reflection in the cavity, a wide bandwidth radiation is achieved, covering for example 2 to 20 GHz, but with poor gain characteristics. In this type of antenna, 1/2 of the input power is lost through absorbed back plane radiation.

An alternative prior art device aimed at reducing back plane losses is shown in FIG. 2. In this device, the spiral antenna 12 is mounted over a conductive ground plane 21 with electrically lossy material 13 formed underneath 20 the outer circumference of the spiral 12. This lossy material has the same effect as the lossy material in the cavity in the case of the previous spiral. This spiral (FIG. 2) is much thinner, however, typically only 1/4 inch. This type of antenna provides somewhat greater gain that the spiral in FIG. 1, while still providing a relatively 25 wide bandwidth. Typically, the cavity backed spiral has a 10:1 bandwidth whereas the spiral with a backplane and

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circumferential lossy material has a 6:1 bandwidth. In developing a better solution to the gain (bandwidth) problem, a method of moments analysis computer program has been used to model a new spiral antenna.

The analysis involves the use of spectral domain Green's functions to predict the electric field produced by an electric current element located on a dielectric slab which is backed by a perfectly conducting ground plane. In this way, the currents and fields of a spiral are rigorously modeled. The method also models any resistance which is located on the arms of the spiral. A system of simultaneous equations results, with the unknown being the coefficients of the electrical current on the spiral arms. The system of equations is solved on a computer using standard matrix solvers. The system of equations is as follows:

$$E_{t}^{inc} (x,y) + E_{t}^{scat} (x,y) = R(x,y) \frac{1}{J_{s}}(x,y)$$

$$\begin{bmatrix} \boldsymbol{V}_{x}^{Pq} \\ \boldsymbol{V}_{x}^{Pq} \end{bmatrix} \begin{bmatrix} \boldsymbol{Z}_{xx}^{Pqmn} & \boldsymbol{Z}_{xy}^{Pqmn} \\ \boldsymbol{Z}_{yx}^{Pqmn} & \boldsymbol{Z}_{yy}^{Pqmn} \end{bmatrix} \boldsymbol{I}_{x}^{mn} \begin{bmatrix} \boldsymbol{R}_{xx}^{Pqmn} & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{R}_{yy}^{Pqmn} \end{bmatrix} \boldsymbol{I}_{x}^{mn}$$

$$Z_{xx}^{pqmn} = \frac{1}{4\pi^{2}} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G_{xx}(k_{x}, k_{y}) J_{x}^{mn}(k_{x}, k_{y}) \int_{x}^{pq} (k_{x}, k_{y}) dk_{x} dk_{y}$$

$$Z_{xx}^{pqmn} = \int \int G_{xx}(k_x, k_y) J_x^{mn}(k_x, k_y) \int_{J_x}^{pq}(k_x, k_y) dk_x dk_y$$

$$2\pi \propto \int \int G_{xx}(k_x, k_y) J_x^{mn}(k_x, k_y) \int_{J_x}^{pq}(k_x, k_y) dk d\alpha$$

$$0 \quad 0$$

$$\begin{array}{ll} 2\pi & \infty & \\ -\int \int \left\{ G_{XX}(k_{x},k_{y}) - G_{a}(k_{x},k_{y}) \right\} J_{x}^{mn}(k_{x},k_{y}) \int_{J_{x}^{pq}}^{J_{x}}(k_{x},k_{y}) dk d\alpha \\ 0 & 0 & \\ 2\pi & \infty & \\ +\int \int G_{a}(k_{x},k_{y}) J_{x}^{mn}(k_{x},k_{y}) \int_{J_{x}^{pq}}^{J_{x}}(k_{x},k_{y}) dk d\alpha \\ 0 & 0 & \end{array}$$

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$$\int_{0}^{\infty} \int_{a}^{\infty} (k_{x}, k_{y}) J_{x}^{mn}(k_{x}, k_{y}) J_{x}^{pq}(k_{x}, k_{y}) dkd\alpha$$

= Free Space Impedance where  $\varepsilon_{a}$ - $(\varepsilon_{r}+1)/2$ 

Referring to FIG. 3, the spiral antenna of the present invention 30 is shown in top view. The spiral shown is formed by two arms (31 and 32) but in general can have any number of arms. Each individual element (33, 34, 35) forms a square spiral having a spacing between adjacent arms approximately equal to the width of the spiral arm. shown in FIG. 4, the spiral 30 is supported by a thin dielectric 42 material with parameters  $\epsilon_{\rm r}$  and  $\mu_{\rm r}$ , relative permitivity and relative permeability, Typically, the thickness respectively. 41 dielectric material is 1/16 to 1/4 inches. The dielectric slab, fabricated from a dielectric in the preferred embodiment, is attached to a perfectly conducting ground plane 44. An aluminum ground plane is used in the preferred embodiment. There is no electrically lossy material behind the spiral, nor is there any cavity.

Referring to FIG. 5, electrical current elements 33, 20 34, and 35 in the spiral are shown unshaded representing antenna elements having no added resistance. Antenna element 50 and the following shaded elements are shaded to represent elements having added resistance. Electrical

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resistance can be placed on a portion of the spiral arms and can be varied as a function of position on the arms. Various types of resistance profiles are shown in FIG. 5. A incremental step increase in resistance is shown in line a linear increase is shown in line 52 and exponential increase is shown in line 53. At a resistance of  $0\Omega$  (ohms) the spiral arm is perfectly conducting. Typical resistance tapers for a spiral would start at  $0\Omega$  (ohms) and increase, in steps or in a taper, to a few hundred ohms. Calculation of the antenna performance parameters using a standard Archimedean spiral is shown in FIGs. 6, 7, and 8. Fig. 6 shows the calculated values of current flow compared to antenna arm length using a frequency range in the 5.0-6.5 GHz range. FIG. 7 shows the real and imaginary components of spiral input impedance for same spiral and FIG. 8 shows the expected gain and axial ratios in the direction perpendicular to plane of the antenna spiral.

As a comparison, the results of the computer program for the square spiral are shown in FIG. 9. A plot of the 20 current distribution as a function of arm length is plotted against arm length referenced as domain numbers, (percentage of the length). The input impedance, gain, and axial ratio are also evaluated by the computer program. An example is 25 shown in FIG. 10. By examining the distribution of current on the spiral arms, the magnitude of the current is caused to decrease as the current flows out of the arm of the

If the magnitude of the current does not go to zero at the end of the spiral arm, the current will reflect back towards the center of the spiral and produce unwanted To ensure a smooth decrease in current on the spiral arms, resistance can be placed on the arms of the To achieve a smooth current spiral as in FIG. 5. distribution it is best to have a smooth increase in resistance beginning at 0 ohms. The resistance should be placed so as to absorb any current that remains on the spiral arms after the area of maximum radiation, (a region 10 on the spiral where the circumference of the arms is equal to one free space wavelength). Because the resistance on the arms of the spiral also serves to decrease the gain (especially at the lower frequencies of operation), it is best to use as little resistance as possible while still 15 maintaining an acceptable current distribution on the spiral arms. The resistive portion of the spiral arms could be made of carbon loaded paint or other resistive materials. Smoothly increasing the resistance on the arms 20 is best (so as to avoid reflections of current back along the spiral arms) but a staircased approximation to a smooth curve can be used. Rather than using materials behind the spiral (as in the previous art) to absorb unwanted energy, the new method of analysis and design allows for direct control of the current distribution on the arms of the 25 spiral by using resistance on the arms themselves. The resistance can be placed only on the portion of the arms

where the current should be decreased to improve the antenna performance, thereby providing much greater gain. An alternate embodiment is shown is FIG. 11 where a square spiral with four arms 111, 112, 113, 114 is shown. As in the prior figures, the shaded area represents antenna elements 115 having added resistance.

The novel features and advantages of the antenna are numerous. The antenna provides both high gain and wideband performance. Losses due to an underlying cavity are eliminated. The thin flat structure is suitable for conformed mounting on an aircraft surface. The performance is available due to the resistive elements added to the antenna arms and the use of a ground plane to avoid signal losses.

Although the invention has been described relative to a specific embodiment thereof, there are numerous variations and modifications that will be readily apparent to those skilled in the art in the light of the above teachings. It is therefore to be understood that, within the scope of the appended claims, the invention may be practiced other than as specifically described.

What is claimed as new and desired to be secured by Letters Patent of the United States is:

LAR 15088-1



#### PATENT APPLICATION

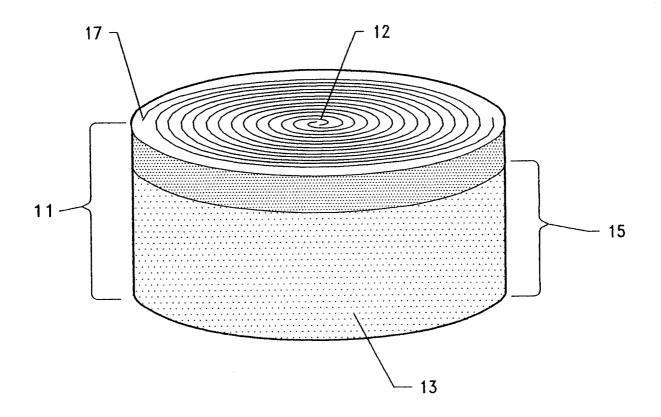
#### SPIRAL MICROSTRIP ANTENNA WITH RESISTANCE

### Abstract of the Inventione

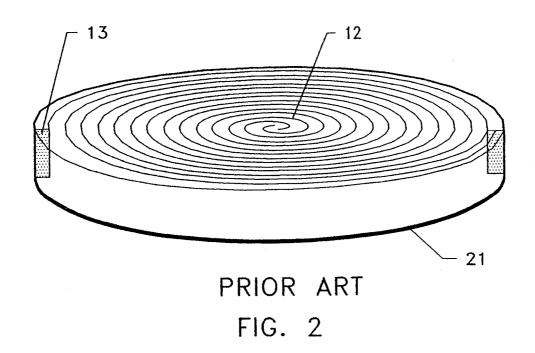
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A spiral microstrip antenna having resistor elements embedded in each of the spiral arms is provided. antenna is constructed using a conductive back plane as a The back plane supports a dielectric slab having a thickness between one-sixteenth and one-quarter of an inch. A square spiral, having either two or four arms, attached to the dielectric slab. Each arm of the spiral has resistor elements thereby dissipating an excess energy not already emitted through radiation. The entire 15 configuration provides a thin, flat, high gain, wide bandwidth antenna which requires no underlying cavity. configuration allows the antenna to be mounted conformably on an aircraft surface.



PRIOR ART FIG. 1



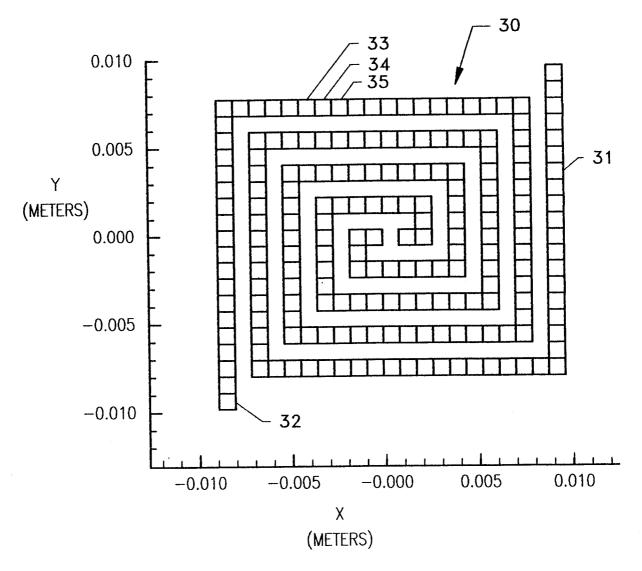
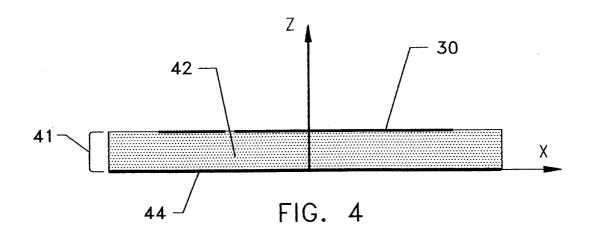


FIG. 3



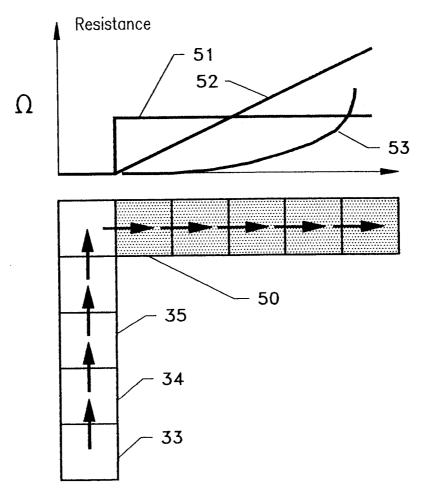
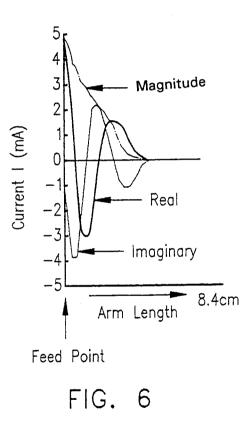
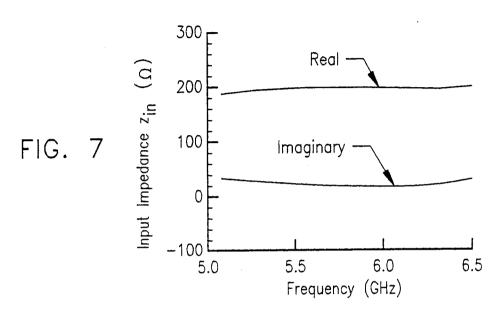
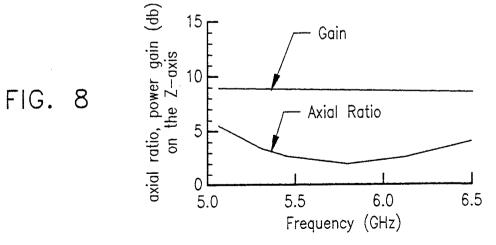


FIG. 5







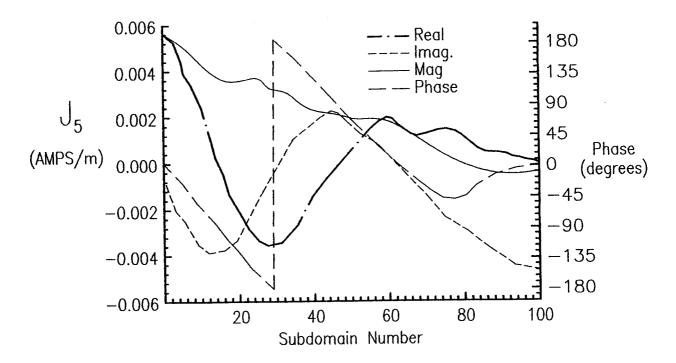


FIG. 9

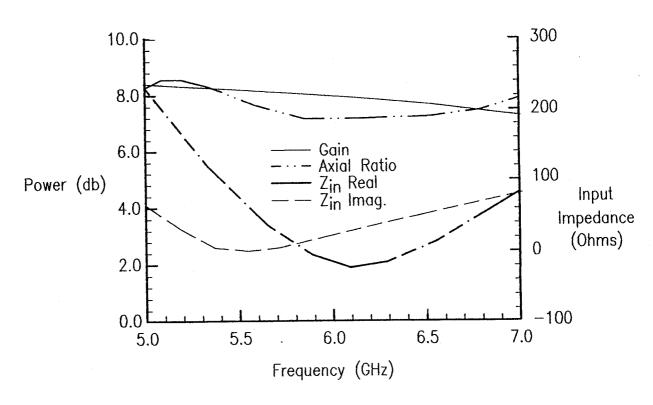


FIG. 10

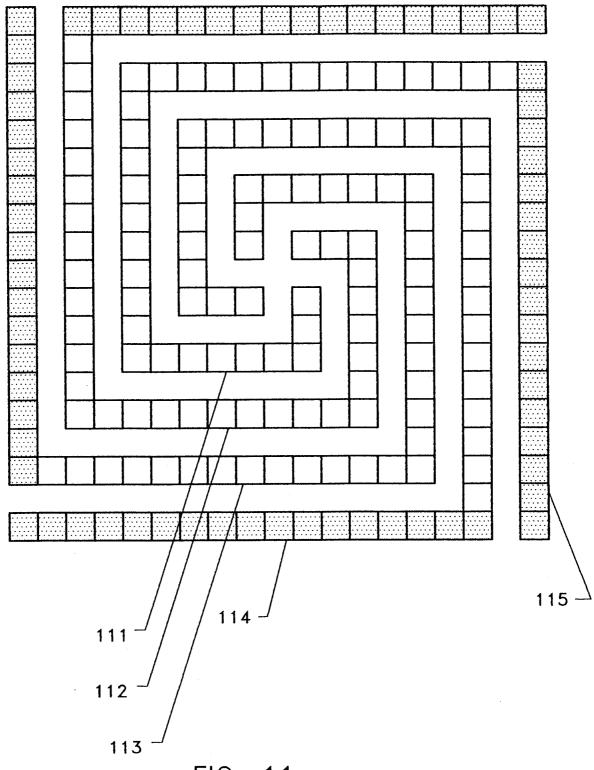


FIG. 11